Approved for use through 07/31/2006, ONIS 0851-0031

U.S. Patient and Trademank Office, U.S. DEPARTIMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unfess it contains a valid ONIS control number.

| | Application Number | | 10012013 | |
|---|------------------------|-----------------------|--------------|--|
| | Filing Date | | 2003-07-01 | |
| | First Named Inventor | Hiroyasu Inoue et al. | | |
| STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99) | Art Unit | 2627 | | |
| (Notice submission under 57 of K 1.55) | Examiner Name Tian | | Tianjie Chen | |
| | Attorney Docket Number | ec | 890050 434 | |

U.S.PATENTS

| Examiner Initial* | Cite No | Patent Number | Kind Code ¹ | Issue D | Date | of cited Document | | Releva | | Lines wher ges or Rele | |
|----------------------|------------|---|---------------------------|-----------------|---|-------------------|---------------------|----------|----------------------------------|---------------------------|----|
| | 1 | 6660451 | | 2003-12 | 1-09 | Sakaue et al. | | | | | |
| | 2 | 6670014 | | 2003-12 | -30 | Nishihara et al | L | | | | |
| | 3 | 7018694 | | 2006-03 | 1-28 | Hosoda et al. | | | | | |
| If you wis | h to ac | dd additional U.S. Pater | nt citatio | n inform | ation pl | ease click the | Add button. | | Add | | |
| | | | U.S.P | ATENT | APPLI | CATION PUBL | LICATIONS | | Remove | | |
| Examiner Initial* | Cite No | Publication Number | Kind Code ¹ | Publica Date | ation Name of Patentee or Applicant of cited Document | | Releva | | Lines when ges or Rele | | |
| | | | | | | | | | | | |
| | 1 | 20030228539 | | 2003-12 | !-11 | Hosoda et al. | | | | | |
| If you wis | _ | 20030228539 dd additional U.S. Publi | shed Ap | | | | olease click the Ad | d button | Add | | |
| If you wis | _ | | | plication | citatio | | | d buttor | Add | | |
| If you wis | h to a | | | plication | citatio | information p | | e or | Remove Pages,Col where Rel | or Relevan | Ts |

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

JP

2 6262854

| pplication Number | | 10612615 | | |
|-----------------------|--------|------------------|--|--|
| iling Date | | 2003-07-01 | | |
| irst Named Inventor | Hiroya | asu Inoue et al. | | |
| rt Unit | | 2627 | | |
| xaminer Name | Tianji | e Chen | | |
| ttorney Docket Number | | 890050.434 | | |

Date Considered

| | 3 | 2000-285509 | JP | | 2000-10-13 | | | |
|---|--------|-------------------------|----------------|----------|----------------|---------------------------|--------|----|
| | 4 | 1122723 | EP | | 2001-08-08 | | | |
| | 5 | 2001322357 | JP | | 2001-11-20 | | | |
| | 6 | 2003260874 | JP | | 2003-09-16 | | | |
| If you wis | h to a | dd additional Foreign P | atent Document | citation | information pl | ease click the Add buttor | n Add | |
| | | | NON-PATER | NT LITE | ERATURE DO | CUMENTS | Remove | |
| Examiner Cite include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item initials* (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/of country where published. | | | | | | | | T5 |
| | | | | | | | | |

1994-09-20

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a 1 See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. 2 Enter office that issued the document, by the two-letter code (WIPO Standard ST.3) 3 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document

If you wish to add additional non-patent literature document citation information please click the Add button Add EXAMINER SIGNATURE

citation if not in conformance and not considered. Include copy of this form with next communication to applicant,

Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 5 Applicant is to place a check mark here if English language translation is attached.

Examiner Signature

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

| Application Number | | 10612615 | | |
|----------------------|-----------------------|------------|--|--|
| Filing Date | | 2003-07-01 | | |
| First Named Inventor | Hiroyasu Inoue et al. | | | |
| Art Unit | | 2627 | | |
| Examiner Name | Tianji | e Chen | | |
| Attorney Docket Numb | ec | 890050 434 | | |

CERTIFICATION STATEMENT

| Please see | 37 | CFR . | 1 97 | and | 1 02 1 | n make | the | annronri | oto co | laction/ | ۰۱۰ |
|------------|----|-------|------|-----|--------|--------|-----|----------|--------|----------|-----|
| | | | | | | | | | | | |

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filling of the information disclosure statement. See 37 CFR 1.97(e/11).

OR

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any involved designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(c).

- See attached certification statement.
- Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- _ ...

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

| _ | | | |
|------------|--------------------|---------------------|------------|
| Signature | /David V. Carlson/ | Date (YYYY-MM-DD) | 2006-11-16 |
| Name/Print | David V Carlson | Registration Number | 31153 |

This collection of information is required by 3T CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is for life and by the USPTO to process) an application. Confidentiality is governed by \$5 U.S. C. 122 and 3T CFR 1.14. This collection is estimated to take if hour to complete, including gathering, preparing and submitting the completed application from the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office. U.S. Operatment of Comment of Office. I.S. Operatment of Office. I.S. Operatment

Privacy Act Statement

The Privacy Act of 1974 (P. L. 93-579) requires that you be given certain information in connection with your submission of the stackhold from related to a patient application or patient. Accordingly, pursuant to the requirements of the Act, places be advised that (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) familishing of the information solicided is couldrain; and (3) the primoral purpuses for which the information is used by the U.S. Patient and Trademan Colline is to process and/or examine your submission related to a patient application or patient. If you do not furnish the requested process and/or examine your submission related to a patient application or patient. If you do not furnish the requested region of the patient of the patient application of the patient application of the patient activities, which may result in farministion of proceedings or 4 anahoroment of the application of the patients of the pa

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
 - A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiation.
 - A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
 - A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552(m).
 - A record related to an International Application filed under the Patent Cooperation Treaty in this system of records
 may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant
 to the Patent Cooperation Treaty.
 - A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
 - 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or hisher designed, uturing an insection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 4d U.S.C. 2904 and 2905. Such disclosure shall be made in accordance with the GSA requisions governing inseption of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- A record from this system of records may be disclosed, as a routine use, to the public after either publication of the
 application pursuant to 35 U.S.C. 12(2) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be
 disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filled in application
 which became abandoned or in which the proceedings were terminated and which application is referenced by either a
 published application, an application open to public inspections or as issued patent.
 - A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.